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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Abrahm, et al.	<b>RECEIVED</b>
Serial No.: 09/866,544	<b>FEB 2 8 2002</b>
Filed: May 25, 2001	<b>Technology Center 2100</b>
Title: Apparatus, Systems and Methods for Transacting and Managing Like-Kind Exchanges	Group Art Unit: 2164
	Examiner: Not Yet Determined
	Date: February 18, 2002
Attorney Docket No.: PELK0002/MRK	

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

140 S. Lake Ave., Suite 312  
Pasadena, CA 91101-4710

**INFORMATION DISCLOSURE STATEMENT**  
**37 CFR § 1.97(b)**

Commissioner:

Section 1: Preliminary Statements:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the manual of patent examining procedure §§ 609 and 707.05(B), enclosed is Form PTO-1449 listing references that are known to Applicant. A copy of each of the listed reference is enclosed. This filing is made during one of the periods described in 37 CFR § 1.97(b).

While this Information Disclosure Statement, and the references cited in any enclosed Form PTO-1449 may be "material" pursuant to 37 CFR § 1.56, submission of this Information Disclosure Statement and citation of references listed in any enclosed Form PTO-1449 are not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

Application Serial No. 09/866,544  
SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT

It is respectfully requested that the listed reference be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of Form PTO-1449 be entered in the application file and returned to Applicant with the next communication from the office in accordance with MPEP §609.

Section 2. FORM 1449

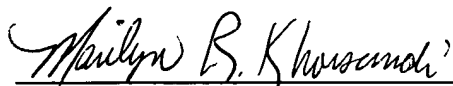
A list of references is set forth on the enclosed Form PTO-1449.

Section 3. Statement as to Information Material to Examination Not Found In Patents or Publications

The Declaration referenced below contains information and provides Exhibit A (pages 1-35 and 10 sheets of drawings), Exhibit B (pages 1-21), Exhibit C (pages 1-96), Exhibit D (56 pages) and Exhibit E (pages 1-11), some of which are proprietary to parties with whom Declarant Dan Morgan previously worked and for whom Declarant Dan Morgan endeavors to protect to the extent possible the proprietary nature of that material. Specifically, the below-referenced Declaration and some of its exhibits contain information proprietary to business entities with which and/or for which Declarant Dan Morgan previously worked in the form of descriptions of business strategies, references to internal business facts, financial arrangements and other details concerning those business entities. Accordingly, the Declaration and the exhibits thereto are submitted herewith under MPEP § 724.02 in a sealed container labeled in accordance with MPEP § 724.02 in order to preserve the proprietary nature of the referenced information. Reference is made as follows:

Reference is made to the Declaration by Daniel Morgan, entitled "VERIFIED STATEMENT (DECLARATION) BY DANIEL T. MORGAN IN SUPPORT OF INFORMATION DISCLOSURE STATEMENT IN U.S. APPLICATION SERIAL NO. 09/866,544", enclosed herewith, including the attachments thereto.

Respectfully submitted,



Marilyn Khorsandi

Reg. No. 45,744

Date: February 18, 2002

Telephone No.: (626) 796-2856

Please type a plus sign (+) inside this box → ✖

PTO/SB/08A (10-96)  
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Substitute for form 1449A/PTO

Complete if Known

Application Number 09/866,544

Filing Date May 25, 2001

First Named Inventor Abrahm, et al.

Group Art Unit 2164

Examiner Name Unknown

Attorney Docket Number PELK0002/Technology Center 2100

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Declaration by DANIEL T. MORGAN, entitled "VERIFIED STATEMENT (DECLARATION) BY DANIEL T. MORGAN IN SUPPORT OF INFORMATION DISCLOSURE STATEMENT IN U.S. APPLICATION SERIAL NO. 09/866,544", pages 1-7 with Exhibits A-E attached, submitted herewith under MPEP § 724.02 as described in the accompanying INFORMATION DISCLOSURE STATEMENT in a sealed container labeled in accordance with MPEP § 724.02.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.